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EXPRESS MAIL LABEL NO. EK 444 149 125 US
ATTORNEY'S DOCKET: ISM/012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ADNAN SHENNIB, ET AL.

Serial No.: 09/475,923

Filed: December 30, 1999

Group Art Unit 2743

Examiner: _____

Title: DIRECT TYMPANIC DRIVE VIA A FLOATING FILAMENT ASSEMBLY

EXPRESS MAIL CERTIFICATE

Express Mail Label No. **EK 444 149 125 US**

Date of Deposit: **April 9, 2000**

I hereby certify that the following attached papers:

- (1) Document Transmittal (including fee calculation);
- (2) Executed Combined Declaration and Power of Attorney;
- (3) Executed Assignment and Recordation Form;
- (4) Executed Small Entity Verification - Small Business Concern (Assignee);
- (5) Copy of Notice to File Missing Parts;
- (6) Check to Commissioner for \$1,273.00, with request for refund of excess \$40.00; and
- (7) Postcard (stamped, addressed) for acknowledging receipt.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, Attention: Box Missing Parts.

Donald R. Greene
P.O. Box 12995
Scottsdale, AZ 85267-2995
Tel: (480) 488-9895



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DOCUMENT TRANSMITTAL

1. Transmitted herewith is a response to the Notice to File Missing Parts of Application (Form PTO-1533), dated 02/10/00, for this application. A copy of the Notice is enclosed herewith.
2. Also enclosed is a Combined Declaration and Power of Attorney executed by the inventors.
3. Also enclosed are an Assignment executed by the inventors together with a recordation form therefor, and a verified statement claiming small entity status executed by the assignee.

FEE CALCULATION (Small Entity)						
	Number of Claims			Number extra	Rate (Small Entity)	Basic Fee
Total Claims	94	- 20	=	74	x \$9.00	= \$345.00
Indep Claims	6	- 3	=	0	x \$39.00	= <u>117.00</u>
TOTAL FEE (Basic + Extra Claims)						\$1,128.00

4. This reply to the Notice to File Missing Parts is timely, so no extension of time is required. In addition to the total filing fee of \$1,128.00 for the basic fee and claims fee, a late surcharge (small entity) of \$65.00 and assignment recordation fee of \$40.00 are included in the total fee \$1,233.00 by enclosed check of the assignee to the Commissioner. It is requested that the additional \$40.00 in the check total of \$1,273.00, which was included in the mistaken belief that two assignment documents were to be recorded, be refunded to the undersigned attorney.

By

Donald R. Greene, Reg. No. 22,470

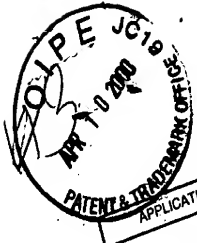
P.O. Box 12995

Scottsdale, AZ 85267-2995

Telephone: (480) 488-9895

Date: 04/08/2000

DRG/TSMDOCM.012/04080



4-11-00
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER 09/475,923
FILING/RECEIPT DATE 12/30/99
FIRST NAMED APPLICANT SHENNIB
ATTORNEY DOCKET NO./TITLE A ISM/012

DONALD R GREENE
P O BOX 12995
SCOTTSDALE AZ 85267-2995

DATE MAILED: 2743 02/10/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 to this NOTICE to avoid abandonment, must also be timely submitted in reply.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed) ☒ non-small entity is \$ 2386

- ☒ 1. The statutory basic filing fee is: ☒ missing. ☐ insufficient.
Applicant must submit \$ 160 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
☒ 2. The following additional claims fees are due:
\$ 1332 for 74 total claims over 20.
\$ 234 for 3 independent claims over 3.
\$ 234 for multiple dependent claim surcharge.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

04/13/2000 MICHAEL 00000006 09475923
01 FC:201
02 FC:205
03 FC:203
04 FC:202
05 FC:209
245.00
65.00
65.00
117.00
44.00

- ☒ 3. The oath or declaration:
☒ is missing or unsigned.
☐ does not cover the newly submitted items.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date, is required.
☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:
An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the inventor(s), identifying this application by the above Application Number and Filing Date, is required.
☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.101(d), and a statement that the translation is accurate (37 CFR 1.52(d)).
☐ 9. OTHER: 0000033047

Refund Ref: 05/02/2000 MICHAEL 00000006 09475923
Direct Refund: 05/02/2000 MICHAEL 00000006 09475923
Questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Patent Examination Division (703) 308-1202

COPY TO BE RETURNED WITH RESPONSE

05/02/2000 MICHAEL 00000006 09475923
04/13/2000 MICHAEL 00000006 09475923
FC:209